



EXECUTIVE SERVICES

EQ's Executive Services offering is tailored to provide comprehensive services to help you support the unique needs of your executives and maximize their awards.

At EQ, we recognize that your senior executive team and officers are paramount to the success of your business and their time is one of your most valuable resources. EQ provides expert guidance and efficient execution when it comes to delivering customized executive services and solutions including requirements such as Form 144 filings, pre-clearance procedures and selling stock under a 10b5-1 plan.

Dedicated Executive Service

Your executives are provided personalized support through our dedicated and experienced team. Our team has extensive knowledge in all types of equity plans transactions, assists with timely order entry and efficient execution of trades, while ensuring delivery of post-transaction assets to the account of choice. Your executives, or their designated representatives, receive individualized support, including modeling of transactions and managing large block orders to prevent market disruption.

Benefits



Savings

- No charge for DWAC fees



Process

- Pre-clearance assistance
- Timely execution
- Form 144 filing
- Form 4 support



Other benefits

- Rule 10b5-1 processing, which allows executives to sell their company's stock while avoiding concerns about insider trading*
- "White glove" support for your executives

The EQ Difference

EQ provides a streamlined experience that simplifies complex matters, with benefits that include:

- Execution and management of the entire transaction from initiation to settlement. We serve as a “one-stop shop” for both your stock plan team and executives.
- Ease of transaction, as executives can transact out of their preferred retail brokerage account.
- We guarantee we won’t solicit you, your employees, or your executives for extraneous services.
- Enhanced recordkeeping management via centralized reporting of all executive trades.
- Seamless integration of our services with current plan administration.
- Coordination with the executive’s personal wealth manager.



About EQ

EQ are specialists in helping you better understand and manage the ownership of your company through critical events across the corporate lifecycle. As trusted advisors, we provide strategic insight and operations expertise through our core business units in Transfer Agent Services, Employee Plan Solutions, Proxy Services, Private Company Services, and Public Relations & Investor Relations Services.

Globally we serve more than 12,000 clients (49% of the FTSE 100 UK and 35% of the S&P 500), with over 20 million shareholders, through 7,500 employees in 19 markets around the world.

Contact Us

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