

# Ownership Intelligence Services

**It's more important than ever to have detailed information about your shareholders – who they are, and what motivates them to invest (or divest).**

The EQ Ownership Intelligence team proactively provides clients with the accurate data they need to measure how shareholders will react to market volatility, corporate actions, and more.

We take a hands-on, consultative and data driven approach to identifying critical insights and providing you with the detailed, timely information you need in order to gain greater knowledge per share – across all stakeholders of a company, on and off our books.

## Capital Markets Consulting

**We deliver customized reports about our clients' current shareholders – all of them, regardless of size or location.**

Our in-depth knowledge of the investment community allows us to give our clients a clear depiction of the opportunities for investment, a measurement of the potential risks, and provide them with the tools they need to interact with their investors.

- A proprietary algorithm which utilizes 29 fundamental models and over 100 metrics to provide the best fit among the Global Institutional Investment pool
- Analysis of the current shareholder base to uncover potential buyers and sellers
- Identification of key targets that can become significant shareholders and impact your company's institutional ownership
- Real-time monitoring and timely alerts on increased activity, stock accumulations, and filings

## Capital Markets Intelligence

**Through identification reports and outreach programs, we provide clients with vital information for potential buybacks, exchanges, and debt restructurings.**

We help our clients identify their bondholders so they are better positioned for lower borrowing costs and can monitor secondary market activity.

- Global Bondholder Identification Analysis
- Cross-Ownership Analysis

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## Tax Audit Studies

- LOB Tax Treaty – Ownership Analysis
- Section 382 – Ownership Change Analysis

## **Analytics and Reports**

**Institution's current equity holdings**

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**Top holders, buyers, and sellers**

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**Potential buyers and sellers**

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**Key decision makers' names and contact information**

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**Key drivers of the equity income markets**

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**Insight into investing strategies**

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**Cross-ownership analysis showing each firm's total dollar investment**

## **Regulatory Compliance**

**EQ enables its clients to easily ensure compliance with all regulatory tax requirements and measure the risk involved in losing or gaining tax benefits.**

We offer solutions to help companies identify all of their holders, prove the domiciliation of assets, meet SEC reporting requirements, and avoid ensuing negative publicity.

## **Issuer Central**

**Access EQ's range of ownership services on a single online ownership portal.**

EQ's Ownership Intelligence services pair perfectly with Issuer Central®, our proprietary platform that consolidates all shareholders' positions, at both registered and street levels, into a single analytic-driven system.

Analyzing data through Issuer Central equips clients with a dynamic customized dashboard where any user—stock administrator, investor relations officer, general counsel, or corporate treasurer or secretary— can view critical information each requires to plan ahead for corporate audits, annual general meetings, and other corporate actions.

## **About EQ**

EQ are specialists in helping you better understand and manage the ownership of your company through critical events across the corporate lifecycle. As trusted advisors, we provide strategic insight and operations expertise through our core business units in Private Company Services, Transfer Agent Services, Employee Plan Solutions, Proxy Services, and Bankruptcy. Globally we serve 6,700 clients (49% of the FTSE 100 UK and 35% of the S&P 500), with over 40 million shareholders, through 6,500 employees in 5 markets around the world.

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## **Contact us**

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